

SUBSTITUTE FORM PTO-1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE							ATTY. DOCKET NO. IBM319	SERIAL NO. 10/711,764
									APPLICANT Toshiharu Furukawa et al.	
									FILING DATE October 4, 2004	GROUP 2823

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JS	A.A	5	7	9	6	5	7	3	8/18/1998	Kotecki et al.		361	321.5	5/29/1997
	A.B	6	2	5	0	9	8	4	8/26/2001	Jin et al.		445	51	1/25/1999
	A.C	6	4	2	3	5	8	3	7/23/2002	Avouris et al.		438	132	1/3/2001
	A.D	6	5	1	5	3	2	5	2/4/2003	Farnworth et al.		257	296	3/6/2002
	A.E	6	8	5	8	8	9	1	2/22/2005	Farnworth et al.		257	296	12/9/2002
JS	A.F	6	8	9	1	2	2	7	5/10/2005	Appenzeller et al.		257	346	
	A.G													
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JS	A.L	WO 2004/040668	3/14/2004	PCT	H01L 51/20		Abstract Only
	A.M	WO 2004/040616	3/13/2004	PCT	H01L		Abstract Only
	A.N	WO 2004/105140	12/2/2004	PCT	H01L 29/786		Abstract Only
	A.O	EP 1420414	April 6, 2005	EP	G11C 13/02	H01L 51/30	N/A
JS	A.P	EP 1 361 608	11/12/2003	EP	H01L 21/768	H01L 23/532	N/A
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JS	A.S	Choi et al., United States Published Application No. 2002/0001905 A1, Publication Date: 1/3/2002
JS	A.T	Furukawa et al., United States Patent Application Publication No. US 2005/0179029, Publication Date: August 18, 2005

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JS	B.R	Y.Zhao, et al, "Film growth of pillars of multi-walled carbon nanotubes", J.Phys.: Condens. Matter 15 (2003) L565-L569
JS	B.S	Zhang, et al, "Electric-field-directed growth of aligned single-walled carbon nanotubes", Applied Physics Letters, Volume 79, Number 19, 5 November 2001
JS	B.T	http://neep.nasa.gov/index_nasa.cfm/769/#synthesis , "Synthesis of CNT's"

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JS	E.S	Furukawa et al., United States Patent Application No. US2005/0130341, Publication Date: June 16, 2005
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JS	G.R	S.J. Wind et al., <u>S.J. Wind, et al., "Vertical Scaling of Carbon Nanotube Field-Effect Transistors Using Top Gate Electrodes," Applied Physics Letters, Vol. 80, Number 20, 20 May 2002, pp. 3817-3819</u>
JS	G.S	<u>Z.F.Ren, "Growth, Characterization, and Potential Applications of Periodic Carbon Nanotube Arrays", Dept of Physics, Boston College... Updated, 2001</u>
JS	G.T	<u>Jun Li, et al, "Bottom-up approach for carbon nanotube interconnects", NASA Ames Research Center, Moffett Field, CA. Rec'd 5. December 2002, accepted 31 January 2003</u>

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JS	I.S	G.S. Duesberg et al., <u>Large-Scale Integration of Carbon Nanotubes Into Silicon Based Microelectronics</u> , Proceedings of the SPIE, Bellingham, VA, Vol. 5118, May 21, 2003
JS	I.T	Furukawa et al., <u>United States Patent Application Publication No. US 2005/0167655</u> , Publication Date: August 4, 2005

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JS	K.S	"Tiny nanotubes set new record", 7 August 2003. Nanotechweb.org
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	N.B								
	N.C								
	N.D								
	N.E								
	N.F								
	N.G								
	N.H								
	N.I								
	N.J								
	N.K								

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)
	N.L						
	N.M						
	N.N						
	N.O						
	N.P						
	N.Q						

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

JS	N.R	Farnworth et al., <u>United States Patent Application No. 2003/O168683</u> , Publication Date: 9/11/2003
JS	N.S	Choi et al., <u>United States Patent Application No. 2003/O170930</u> , Publication Date: 9/11/2003
JS	N.T	Appenzeller et al., <u>United States Patent Application No. 2003/O178617</u> , Publication Date: 9/25/2003

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SUBSTITUTE FORM PTO-1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. IBM/319	SERIAL NO. 10/711,764
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) (37 CFR 1.98(b))		APPLICANT Toshiharu Furukawa et al.			
		FILING DATE October 4, 2004	GROUP 2823		

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
O.A							
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JS	O.R	P.Harris, "Carbon Nanotubes and related structures", Cambridge University Press 1999.
JS	O.S	K.Teo, et al, "Catalytic Synthesis of Carbon Nanotubes and Nanofibers", Encyclopedia of Nanoscience and Nanotechnology, Vol X, pps 1-22, copyright 2003
JS	O.T	

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